

Event Host



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Presents

## The RMA Empire Fall Conference

Genesee Grande Hotel – Syracuse, NY

Event Sponsor

**THE BONADIO GROUP**  
CPAs, Consultants & More

### Thursday, November 10, 2016

1:30 p.m. – 2:00 p.m. Registration

2:10 p.m. – 2:45 p.m. Economic Update presented by Mary Tone Rodgers and Dr. Graig Arcuri

2:45 p.m. – 3:25 p.m. ERM on a Budget presented by Paul Ward, SVP

3:45 p.m. – 4:45 p.m. Chief Risk Officer Panel Discussion moderated by William Hodel – Panelists include Karen Makowski, EVP / Laura Mazzara, SVP / Phillip McCann, EVP / Linda Schnitzler, SVP / Paul Ward, SVP

5:00 p.m. – 6:00 p.m. Hors D'Oeuvres & Cocktails courtesy of **LCS, Inc.** (one drink ticket included with registration)

**Cost:**

\$40/per person for RMA & IIA Members\*

\$50 for non-members\*\*

Student members are free

\*\*Not a member? Make sure to ask how a portion

of the cost of this conference can be credited to your new RMA membership dues!

**Location:**

Genesee Grande Hotel\*\*\*

1060 East Genesee Street

Syracuse, NY 13210

(315)-476-4212

For directions; [www.geneseegrande.com](http://www.geneseegrande.com)

**Click below for online registration/payment information or see the attached registration form:**

RMA Members - : <http://community.rmahq.org/empire/rmaadmindonot-delete/events/eventdescription?CalendarEventKey=ae36f17a-82c8-4d36-a948-f833095a74b0&Home=/empire/home>

RMA Student Members - <http://community.rmahq.org/empire/rmaadmindonot-delete/events/eventdescription?CalendarEventKey=a49d855b-cd82-4a3e-9354-b2b8ac47f970&Home=/empire/home>

IIA Members - : <http://community.rmahq.org/empire/rmaadmindonot-delete/events/eventdescription?CalendarEventKey=551cef87-9351-4b46-be23-4fac80901afe&Home=/empire/home>.

Non-Members – Please use the attached registration form.

\*Continuing Education Credits: 2 RMA CRC credits / 2.5 IIA CPE credits

\*\*\*The hotel has reserved a block of rooms at a group rate of \$139.00 plus tax for anyone wishing to stay overnight.

**Conference Opening – 2:00 p.m. – 2:10 p.m.**

RMA Empire Chapter President Bob Ellis welcomes Conference participants followed by a word from industry co-sponsor IIA Central New York Chapter President Megan Bushneck.

**Economic Update presented by Mary Tone Rodgers, Endowed Professor of Finance SUNY Oswego and Dr. Graig Arcuri Visiting Assistant Professor of Finance SUNY Oswego – 2:10 p.m. – 2:45 p.m.**

In this session Mary Tone Rodgers and Dr. Graig Arcuri will provide their insights with regard to current economic events and views for the future.

Completing her MBA at Northwestern University in Evanston IL in 1981, Mary Tone Rodgers worked at Merrill Lynch Pierce Fenner & Smith in a variety of capacities for 30 years before completing her doctorate at Pace University in New York City in 2011. A CFA Charterholder, Mary continues to advise in an asset management practice today. Her research interests include financial markets, banking and financial history and financial crises. Mary's work has been published in the *Journal of Economic History*, the *Quarterly Journal of Austrian Economics* and the Immigrant Entrepreneurship project sponsored by the Department of the Treasury of the Federal Republic of Germany.

Dr. Graig Arcuri earned his PhD in Management from Walden University in Minneapolis, Minnesota. Appointed in 2015, Dr. Arcuri teaches classes in Finance. Graig has also worked as an instructor at Baruch College in Manhattan, as a mentor at University of Phoenix Alumni Association and as an Executive, Entrepreneur and Instructor of Business & Technology at GPA Investment Corporation. His research interests include revenue generation for Nonprofit Institutions.

**ERM on a Budget presented by Paul Ward, SVP, Chief Risk Officer, Community Bank, NA – 2:45 p.m. – 3:25 p.m.**

Having an appropriate Enterprise Risk Management (ERM) Program is a regulatory expectation and a good business practice, but what is "appropriate"?? This session will identify specific reports and tools that almost any Bank can generate without the need for a special ERM system or several dedicated employees. Topics will include Emerging Issues/ Risks, Risk Appetite Statement, OCC Categories of Risk Assessment and Analysis, and others.

Paul Ward has worked in the Banking industry for 20+ years including 10 years with Community Bank and 12 years at NBT Bank. Paul is the Senior Vice President and Chief Risk officer at Community and is responsible for coordinating the organizations Enterprise Risk Management approach, which includes strategic, reputational, operational, financial and compliance risk assessment. This involves oversight of the compliance, loan review, financial intelligence and information security functions.

**Event Break courtesy of AmeriSOURCE- 3:25 p.m. – 3:35 p.m.**

**Event Sponsor Presentation by Tom Giglio, EVP of The Bonadio Group – 3:35 p.m. – 3:45 p.m.**

**Chief Risk Officer Panel Discussion moderated by William Hodel - 3:45 p.m. – 4:45 p.m.**

This Q&A session will solicit feedback and insights from a panel of Risk Management professionals representing Banks of varying sizes. Hot topics, emerging risks, credit risk management, ERM organizational structures, regulatory trends, and peer networking opportunities will be just a few of the discussion points. Audience participation and questions will be encouraged. Panelists are Karen Makowski, Laura Mazzara, Phillip McCann, Linda Schnitzler and Paul Ward.

Karen Makowski, EVP is a career banker currently serving as Chief Risk Officer for Chemung Canal Trust Company in Elmira NY. She previously worked with KeyCorp, SouthTrust, SunTrust and Bank of NY. Karen was CEO of Key Bank of Vermont and CEO/Founder of Panther Community Bank, NA.

Laura Mazzara, SVP has served as Senior Vice President & Chief Risk Officer of Pioneer Bank since 2009, where she is responsible for Enterprise Risk Management and oversees Compliance, BSA, and Security. With 20+years in the industry, Laura has served in several capacities throughout her career in various disciplines, including Accounting, Internal Audit and Enterprise Risk Management.

Phillip McCann, EVP has worked in the Banking industry for 20+ years and is Lyons National Bank's Executive Vice President & Chief Risk Officer. Phil has been with LNB since 2009 and is also a member of the Bank's Executive Management team. Prior to joining LNB, Phil served in various capacities at KeyBank and Farm Credit of Western New York.

Linda Schnitzler, SVP has been in the Financial Services Industry for nearly 40 years including 35 years with Canandaigua National Bank. Most of Linda's career at CNB was in the Internal Audit Department with 17 years as the Chief Auditor. Linda has been the Chief Risk Officer since 2011 and is responsible for coordinating and managing the ERM Program for the Corporation. Her oversight also includes Physical and Information Security, Vendor Management, Business Continuity, and BSA/AML & Fraud (FRAML).

Thanks to our annual Sponsors!



www.bonadio.com  
1-888-997-2494



**Registration Information:**

Email, Fax or Mail Registration - Please complete the following registration form and send it with payment. Please make checks payable to the RMA-Empire NY Chapter and mail to:

Danielle Quintus, CRC  
Solvay Bank  
1537 Milton Ave  
Solvay, NY 13209  
[dquintus@solvaybank.com](mailto:dquintus@solvaybank.com)  
Phone: 315-468-1661 x6019  
Fax: 315-468-0372

***“RMA Empire Chapter 2016 Fall Conference”***

Name: \_\_\_\_\_ Amount Enclosed: \$ \_\_\_\_\_  
Title: \_\_\_\_\_ Institution: \_\_\_\_\_  
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For multiple registrations for your organization, please list the names of all attendees from your company with an email address, title and amount enclosed.

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***Thank you for your continuing support of the Empire Chapter of the RMA!***